

Office of Thrift Supervision, Treasury

§ 506.1

§ 505.4 of this part. Provisions relating to delivery of process upon the OTS are set forth in § 505.5 of this part.

[54 FR 49444, Nov. 30, 1989, as amended at 60 FR 66716, Dec. 26, 1995; 66 FR 65819, Dec. 21, 2001]

§ 505.2 Public Reading Room.

OTS will make materials available for review on an ad hoc basis when necessary. Contact the FOIA Office, Office of Thrift Supervision, 1700 G Street, NW., Washington, DC 20552, or you may visit the Public Reading Room at 1700 G Street, NW., by appointment only. (Please identify the materials you would like to inspect, to assist us in serving you.) We schedule appointments on business days between 10 a.m. and 4 p.m. In most cases, appointments will be available the next business day following the date we receive your request.

[66 FR 65819, Dec. 21, 2001, as amended at 67 FR 78151, Dec. 23, 2002]

§ 505.3 Requests for records.

A designated official will make the initial determination under 31 CFR 1.5(g) whether to grant a request for OTS records. Requests may be mailed to: Freedom of Information Act Request, FOIA Office, Office of Thrift Supervision, 1700 G Street, NW., Washington, DC 20552, or marked “FOIA” and delivered in person to the FOIA Office, 1700 G Street, NW., Washington, DC 20552. Requests may also be sent by e-mail or facsimile to the e-mail address and facsimile number in § 505.2 of this part.

[67 FR 78151, Dec. 23, 2002]

§ 505.4 Administrative appeal of initial determination to deny records.

A designated official will make appellate determinations under 31 CFR 1.5(h) with respect to OTS records. Appeals by mail should be addressed to: FOIA Appeals, 1700 G Street, NW., Washington, DC 20552. Appeals may be delivered personally to FOIA Appeals, Office of Thrift Supervision, 1700 G Street, NW., Washington, DC 20552. Appeals may also be sent by e-mail or facsimile to the e-mail address and facsimile number in § 505.2 of this part.

[67 FR 78151, Dec. 23, 2002]

§ 505.5 Delivery of process.

Service of process will be received as set forth in § 510.4 of this chapter.

[54 FR 49444, Nov. 30, 1989]

PART 506—INFORMATION COLLECTION REQUIREMENTS UNDER THE PAPERWORK REDUCTION ACT

AUTHORITY: 44 U.S.C. 3501 *et seq.*

§ 506.1 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

(a) *Purpose.* This part collects and displays the control numbers assigned to information collection requirements contained in regulations of the Office of Thrift Supervision by the Office of Management and Budget (OMB) pursuant to the Paperwork Reduction Act of 1995, Pub. L. 104-13, 109 Stat. 163, and is adopted in compliance with the requirements of 5 CFR 1320.8. Information collection requirements that are not mandated by statute must be assigned control numbers by OMB in order to be enforceable. Respondents/recordkeepers are not required to comply with any collection of information unless it displays a currently valid OMB control number.

(b) *Display.*

12 CFR part or section where identified and described	Current OMB control No.
502.70	1550-0053.
510	1550-0081.
Part 516	1550-0056.
Part 528	1550-0021.
533.4	1550-0105.
533.6	1550-0105.
533.7	1550-0105.
536.40	1550-0106.
543.2	1550-0005.
543.3	1550-0005.
543.9	1550-0007.
544.2	1550-0018.
544.5	1550-0018.
544.8	1550-0011.
545.93 and 545.95	1500-0006.
545.96(c)	1550-0011.
546.2	1550-0016.
546.4	1550-0066.
Part 550	1550-0037.
Part 551	1550-0109.
551.50	1550-0109.
551.70 through 551.100	1550-0109.
551.140	1550-0109.
551.150	1550-0109.
552.2-1	1550-0005.
552.2-6	1550-0007.
552.4	1550-0017.
552.5	1550-0018.

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12 CFR part or section where identified and described	Current OMB control No.
552.11	1550–0011.
552.13	1550–0016, 1550–0025.
555.300	1550–0095.
555.310	1550–0095.
557.20	1550–0092.
559.3	1550–0077.
559.11	1550–0077.
559.12	1550–0013.
559.13	1550–0065.
560.1	1550–0078.
560.2	1550–0078.
560.32	1550–0078.
560.35	1550–0078.
560.93(f)	1550–0078.
560.101	1550–0078.
560.170(c)	1550–0078.
560.172	1550–0078.
560.210	1550–0078.
562.1	1550–0011.
562.1(b)	1550–0078.
562.4	1550–0011.
563.1(b)	1550–0011.
563.3	1550–0027.
563.22	1550–0016, 1550–0025.
563.41(c)(3) and (4)	1550–0078.
563.43	1550–0075.
563.47(e)	1550–0011.
563.74	1550–0050.
563.76(c)	1550–0011.
563.81	1550–0030.
563.143 through 563.146	1550–0059.
563.170	1550–0078.
563.177	1550–0041.
563.180	1550–0084.
563.180(d)	1550–0003.
563.180(e)	1550–0079.
Part 563b	1550–0014.
Part 563d	1550–0019.
Part 563e	1550–0012.
Part 563f	1550–0051.
Part 563g	1550–0035.
Part 564	1550–0078.
Part 568	1550–0062.
572.6	1550–0088.
572.7	1550–0088.
572.9	1550–0088.
572.10	1550–0088.
Part 573	1550–0103.
574.3(b)	1550–0032.
574.4	1550–0032.
574.5	1550–0032.
574.6	1550–0015.
Part 575	1550–0072.
584.1(f)	1550–0011.
584.2–1	1550–0063.
584.2–2	1550–0063.
584.9	1550–0063.
590.4(h)	1550–0078.

PART 507—RESTRICTIONS ON POST-EMPLOYMENT ACTIVITIES OF SENIOR EXAMINERS

Sec.

507.1 What does this part do?

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507.3 What post-employment restrictions apply to senior examiners?

507.4 When will OTS waive the post-employment restrictions?

507.5 What are the penalties for violating the post-employment restrictions?

AUTHORITY: 12 U.S.C. 1462a, 1463 and 1820(k).

SOURCE: 70 FR 69640, Nov. 17, 2005, unless otherwise noted.

§ 507.1 What does this part do?

This part implements section 10(k) of the Federal Deposit Insurance Act (FDIA), which prohibits senior examiners from accepting compensation from certain companies following the termination of their employment. See 12 U.S.C. 1820(k). Except where otherwise provided, the terms used in this part have the meanings given in section 3 of the FDIA (12 U.S.C. 1813).

§ 507.2 Who is a senior examiner?

An individual is a senior examiner for a particular savings association or savings and loan holding company if—

(a) The individual is an officer or employee of OTS (including a special government employee) who has been authorized by OTS to conduct examinations or inspections of savings associations or savings and loan holding companies;

(b) The individual has been assigned continuing, broad and lead responsibility for the examination or inspection of that savings association or savings and loan holding company; and

(c) The individual's responsibilities for examining, inspecting, or supervising that savings association or savings and loan holding company:

(1) Represent a substantial portion of the individual's assigned responsibilities at OTS; and

(2) Require the individual to interact on a routine basis with officers and employees of the savings association, savings and loan holding company, or its affiliates.

[60 FR 66716, Dec. 26, 1995, as amended by 61 FR 65178, Dec. 11, 1996; 62 FR 54764, Oct. 22, 1997; 62 FR 66261, Dec. 18, 1997; 63 FR 71211, Dec. 24, 1998; 65 FR 78901, Dec. 18, 2000; 66 FR 15017, Mar. 15, 2001; 66 FR 65819, Dec. 21, 2001; 67 FR 76298, Dec. 12, 2002; 67 FR 77916, Dec. 20, 2002; 67 FR 78151, Dec. 23, 2002; 68 FR 75109, Dec. 30, 2003; 69 FR 68246, Nov. 24, 2004; 69 FR 76602, Dec. 22, 2004]